

FUNDING DESCRIPTIONS

Fiscal Year 2001-02

Alcohol/Drug Medi-Cal (Federal Share Only) Fed. Cat. #93.778 (Funding Line 40)

This funding is the Federal Financial Participation (FFP) share for alcohol and drug services for Drug Medi-Cal (DMC) eligible beneficiaries. The FFP percentage shares are 51.25% for July 1, 2001, through September 30, 2001, and 51.40% for October 1, 2001, through June 30, 2002.

For budgeting purposes, the FFP percentage share for the entire state fiscal year is 51.36%.

Perinatal - Medi-Cal (Federal Share Only) Fed. Cat. #93.778 (Funding Line 40a)

This funding is for perinatal services for DMC eligible beneficiaries. The FFP percentage shares are 51.25% for July 1, 2001, through September 30, 2001, and 51.40% for October 1, 2001, through June 30, 2002.

For budgeting purposes, the FFP percentage share for the entire state fiscal year is 51.36%.

Perinatal (PTEP) - Match to Medi-Cal (Funding Lines 40b)

This funding is the State General Fund (SGF) share for perinatal services for DMC eligible beneficiaries. The SGF percentage shares are 48.75% for July 1, 2001, through September 30, 2001, and 48.60% for October 1, 2001, through June 30, 2002.

For budgeting purposes, the SGF percentage share for the entire state fiscal year is 48.64%.

Perinatal State General Fund (Funding Line 41c)

These funds are for programs serving populations of pregnant and postpartum women and their infants and parenting women and their children through 18 years of age.

These funds cannot be used to match FFP in the DMC program.

Women and Children's Residential Treatment Services SGF (Funding Line 41g)

The Legislature appropriated continued funding to the existing Women and Children's Residential Treatment Services that began through the Federal Center for Substance Abuse Treatment grants but whose grants have since lost funding. These programs provide residential treatment services to women and their children. These funds cannot be used for purposes of match in the DMC program. These funds were identified as "Perinatal Grant" in FY 1998-99.

The 10% county match is not required.

These funds must be shown as separate and independent expenditures, within a specific provider, from other perinatal programs.

Perinatal State General Fund – Backfill (Funding Line 41x)

These are State General Fund dollars that can be used to backfill DMC overages (over the DMC rate cap) for DMC perinatal programs.

Female Offender Treatment Project (Fed. Cat. #93,959) (Funding Lines 45-01 and 45-02)

These funds are used to provide aftercare treatment (residential only) for paroled female inmates primarily from the California Institution for Women. The affected counties are Los Angeles, Orange, Riverside, and San Bernardino. Specific funding requirements have been provided directly to the affected counties. No Female Treatment Offender Project funds may be used for County administrative costs.

Parolee Services Networks (BASN, PPNP, PPP) (Funding Line 46)

These funds are made available by the California Department of Corrections (CDC) for residential and non-residential alcohol and drug treatment and/or recovery services to inmates and parolees in the counties of Alameda, Contra Costa, Fresno, Kern, Los Angeles, Marin, Napa, Orange, Riverside, Sacramento, San Bernardino, San Diego, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma.

Specific funding requirements have been provided directly to the affected counties.

Substance Abuse Prevention and Treatment (SAPT) Block Grant (Fed. Cat. #93.959)

SAPT Block Grant funds must be tracked by individual grant award; therefore, the individual grant awards are being tracked by funding line.

These funds are awarded for the purpose of planning, carrying out, and evaluating activities to prevent and treat substance abuse and authorized related activities.

States are required to expend no less than 35 percent for prevention and treatment activities regarding alcohol and no less than 35 percent for prevention and treatment activities regarding other drugs. Twenty percent of the States' SAPT Block Grant funds must be spent on Primary Prevention activities.

For specific funding and program requirements, please refer to the Federal SAPT Block Grant Law (July 1992) and the Federal SAPT Block Grant Regulations (March 1993).

a. Discretionary (Fed. Cat. #93.959) (Funding Lines 50-01 and 50-02)

Block grant funds are used for a wide range of activities to prevent and treat substance abuse and dealing with the abuse of alcohol, the use or abuse of illicit drugs, the abuse of licit drugs, and the use or abuse of tobacco products.

These discretionary block grant funds awarded are for the purposes other than those block grant funds specifically identified, i.e., HIV Set-Aside, Perinatal Set-Aside, etc.

b. Adolescent Treatment Services (ATS) (Fed. Cat. #93.959) (Funding Lines 50a-01 and 50a-02)

These funds allocated are for services other than Primary Prevention and Driving Under the Influence programs.

The Funding Line 50a-02 is a combination of FFY 2002 SAPT Adolescent Treatment Program and SAPT Youth Treatment Discretionary.

c. SAPT Friday Night Live (Fed. Cat. #93.959) (Funding Lines 50b-01 and 50b-02).

The Friday Night Live (FNL) program is designed to prevent alcohol and drug use among teenagers through activities including community action, assemblies, and leadership training. Due to a reduction in the Safe and Drug Free Schools and Communities (SDFSC) grant, FNL will be supported by the increase in SAPT Primary

Prevention Set-Aside Funds for FY 1999-00 and following, and are designated as prevention.

d. SAPT Club Live (Fed. Cat. #93.959) (Funding Lines 50c-01 and 50c-02)

The Club Live (CL) prevention program is supported by the increased Primary Prevention Set-Aside funds starting in FY 1999-00 and following, and are designated to fund prevention services.

e. SAPT Primary Prevention Set-Aside (Fed. Cat. #93.959) (Funding Lines 50d-01, 50d-01s, and 50d-02)

These SAPT funds are identified separately to capture the expenditure for the Primary Prevention Set-Aside requirement.

The Funding Line 50d-01s is a one-time supplemental increase.

f. HIV Set Aside (Fed. Cat. #93.959) (Funding Lines 51-01, 51-01s, and 51-02)

These funds are allocated to counties to make available to individuals (undergoing treatment for substance abuse) early intervention services for HIV diseases. Services are voluntary and will not be required as a condition of receiving treatment services. These services include appropriate pre-test counseling, testing individuals, appropriate post-test counseling, and providing therapeutic measures in relationship to the testing.

HIV Set-Aside funds may only be used in treatment programs.

The Funding Line 51-01s is a one-time supplemental increase.

g. SAPT - Perinatal Set Aside (Fed. Cat. #93.959) (Funding Lines 52-01 and 52-02)

These funds are awarded to increase the availability of treatment services for pregnant women and women with dependent children.

These funds may be used by counties to expand static capacity in existing perinatal programs, add new perinatal programs/services (e.g., case management), and change existing programs. These funds cannot be used to supplant funds that support existing perinatal services.

h. Special Projects - Summary of Funds (Funding Lines 56-01, 56-01s, and 56-02)

Special Projects - Funds are allocated for special projects to the following counties: Alameda, Los Angeles, Modoc, Shasta, and Tulare.

Specific terms and conditions were provided directly to the affected counties.

i. SAPT Discretionary One-Time (Funding Line 56a-01)

Block grant funds are used for a wide range of activities to prevent and treat substance abuse and dealing with the abuse of alcohol, the use or abuse of illicit drugs, the abuse of licit drugs, and the use or abuse of tobacco products.

These one-time discretionary block grant funds are awarded for purposes other than those block grant funds specifically identified, i.e., HIV Set-Aside, Perinatal Set-Aside, etc.

Private Industries Council (PIC) (Funding Line 58a)

ADP must track funds from the Employment Development Department (EDD) being used for substance abuse services through the NNA contract process for Welfare-to-Work funding.

Substance Abuse and Crime Prevention Act (SACPA) SAPT Drug Testing (SB 223) (Funding Line 59a-01)

Senate Bill 223 enacted the Substance Abuse Treatment and Testing Accountability (SATTA) Program. These funds are for the costs of substance abuse testing for eligible offenders under SACPA. These funds are for drug testing as outlined in SB 223 and for other purposes (Funding Line 59b-01).

Substance Abuse and Crime Prevention Act (SACPA) SAPT Additional Discretionary (SB 223) (Funding Line 59b-01)

These are SAPT Additional Discretionary funds which are not used for SACPA drug testing.

Safe and Drug-Free Schools and Communities (SDFSC) Funds Fed. Cat. # 84.186

SDFSC funds must be tracked by individual grant award; therefore, the individual grant awards are being tracked by funding line.

Priority for expenditure of the federal Safe and Drug-Free School and Communities (SDFSC) funds shall be given to programs and activities for:

- * Children and youth who are not normally served by State or local educational agencies; or
- * Populations that need special services or additional resources (such as preschoolers, youth in juvenile detention facilities, runaway or homeless children and youth, pregnant and parenting teenagers, and school dropouts).

Use of funds is limited to:

- No more than 5 percent of the allocated funds may be used for administrative costs.
- Funding under this category may be used to support parent groups, community action agencies, community-based organizations, and other public entities and private nonprofit entities for the development and implementation of programs such as:

Community Based Prevention (Funding Lines 62-00, 62-00s, 62-01)

These funds are limited to services for children and youth who are not normally served by the State or local education agencies as defined in the SDFSC Act of 1994; or populations that need special services or additional resources (such as preschoolers, youth in juvenile detention facilities, runaway or homeless children and youth, pregnant and parenting teenagers, and school dropouts). The ten-percent school, school district, or community organization match is no longer required.

California Mentor Initiative (CMI)- Fed. Cat. #84.186 (Funding Lines 68a-00, 68a-00s)

SDFSC Act funds are being used to support the expansion of local mentor service programs across the state. For the purposes of the CMI, mentoring is defined as a relationship over a prolonged period of time between two or more people where older, wiser, more experienced individuals provide constant, as needed support, guidance, and concrete help to the younger at-risk persons as they go through life. An "at-risk " youth is an individual under 19 years of age whose environment increases his/her chance of becoming a teen parent, school drop-out, gang member, or user of alcohol or drugs. Targeted children and youth must not be normally served by State or local educational agencies or must be in need of special services or additional resources. Funding under the CMI is restricted to five percent administrative costs.

State General Fund (SGF) - Match to Medi-Cal (Funding Line 70)

This funding is for alcohol and drug services for DMC eligible beneficiaries. The SGF percentage shares are 48.75% for July 1, 2001, through September 30, 2001, and 48.60% for October 1, 2001, through June 30, 2002.

For budgeting purposes, the SGF percentage share for the entire state fiscal year is 48.64%.

SACPA State General Fund (Funding Line 78)

These funds are for the administration of services for eligible clients pursuant to the SACPA. This information is being collected as a revenue item.

SACPA Fees (Funding Line 78a)

This funding line is for budgeting and tracking fees collected by the county, which have been assessed by the trial judge. These fees are to be used toward the cost of placing clients into drug treatment programs.

SACPA County Other Funds (Funding Line 78b)

This funding is for budgeting and tracking county funds used for providing SACPA services.

SACPA Provider Other Funds (Funding Line 78c)

This funding is for budgeting and tracking provider funds used for providing SACPA services.

TCM – MAA (Funding Line 79)

TCM - MAA funds are Medicaid. Because DHS is identified by the Federal Government as the single state agency, they are responsible for managing the claiming and cost reporting process.

Targeted Case Management (TCM) services are defined in Welfare and Institutions Code Section 14132.44. TCM services are to be provided to specific groups of Medi-Cal beneficiaries, one of which is alcohol and drug abusers. Providers are local governments under contract with DHS. Providers must submit an annual cost report to DHS. Local government providers may subcontract with service providers.

Medi-Cal Administrative Activity (MAA) is defined in Welfare and Institutions Codes Section 14132.47. The intent of MAA is to assist with the performance of administrative activities necessary for the proper and efficient administration of the Medi-Cal program. Like TCM, DHS contracts with local government providers, who may subcontract with non-governmental entities for provision of services.

Non-County Revenue (Funding Line 80)

This funding line is for revenue from sources other than allocated or county-generated. Examples of non-county revenue are non-Federal grants, donations, contributions, and third party payments other than insurance.

State General Fund (SGF) (Funding Line 80c)

These funds are available to counties to meet the cost of alcohol and other drug services. These funds cannot be used to match FFP in the DMC program.

DSS/CalWORKs SGF (Funding Line 80e)

These funds are to be used for mental health services or substance abuse services. These funds are not matchable to any federal funds. County Welfare Directors have the option of moving these funds between their CalWORKs substance abuse services and their CalWORKs mental health services based on a county's specific need for each type of service. These funds were allocated by Department of Social Services.

Drug Court Partnership Program Grant (Funding Line 80h)

These funds were awarded through a Request for Application by ADP. Reimbursement of these funds is through invoices submitted to ADP. These funds are for use by drug courts to provide direct court supervision, regular drug testing, substance abuse treatment services, and other related services in support of treatment activities.

CalTOP SAPT Block Grant (Funding Line 80k-01)

This SAPT Block Grant funding was issued to counties that participate in the CalTOP project.

Regular State General Fund – Backfill (Funding Line 80x)

These State General Fund dollars can be used for DMC overages (over the DMC rate cap) in the DMC Alcohol/Drug Program or Perinatal Program.

Required County Match - Alcohol/Drug or Perinatal (Funding Lines 81c)

Counties shall comply with the following county match requirements pursuant to Health and Safety Code, Sections 11840, 11840a.1, and 11987.4:

- a. Counties with population over 100,000:
 1. State General Fund (SGF) allocations, if any, not used as DMC match shall be funded on the basis of 90 percent SGF and 10 percent county funds, except local hospital inpatient costs, to the extent there are allocations made for local hospital inpatient costs;
 2. State Hospital programs shall be funded on the basis of 85 percent SGF and 15 percent county funds.
- b. Counties with population less than 100,000:
 1. State Hospital programs shall be funded on the basis of 90 percent SGF and 10 percent county funds to the extent that allocations of SGF are made available for alcohol and drug treatment in State Hospital programs.

- c. Perinatal Services Network counties with population over 100,000:

Perinatal SGF, if any not used as DMC match, shall be funded on the basis of 90 percent Perinatal SGF and 10 percent county funds. The 10 percent county match funds must be used in perinatal programs.

This requirement does not apply to the Women and Children's Residential Treatment Services SGF (Funding Line 41g) or the Drug Court Partnership Program Grant SGF (Funding Line 80h).

County Funds - Other (Funding Line 82)

These are funds provided by the county in excess of the above-cited match requirements, i.e., general assistance and probation funds, administered by the County Alcohol and Drug administrator.

Provider Unrestricted Funds (Funding Line 82a)

This is a DMC line only. Costs for rates that exceed the rate cap will be accepted; however, the Department will not reimburse in excess of the rate cap. Costs in excess of the rate cap must be accounted for in the unrestricted funds lines.

County Unrestricted Funds (Funding Line 82b)

This is a DMC line only. Costs for rates that exceed the rate cap will be accepted; however, the Department will not reimburse in excess of the rate cap. Costs in excess of the rate cap must be accounted for in the unrestricted funds lines.

Excess Fees Spent (Funding Line 83)

These are fees that were collected from clients in the previous fiscal year, but not expended in the same year collected.

These funds cannot be spent in Support Services (HSC Sections 11841 and 11991.5).

Fees (Funding Line 84)

This amount is the projected amount of fees collected from clients for the fiscal year.

Each provider using state or federal funds must assess and collect fees from participants and report fees on its budget forms, except Prevention.

These funds cannot be spent in Support Services (HSC Sections 11841 and 11991.5).

Insurance (Funding Line 85)

This amount is the projected fees collected from third party payers.

These funds cannot be spent in Support Services (HSC Sections 11841 and 11991.5).

PC 1463.25 (SB 920) and HS 11372.7 (SB 921) (Funding Line 86)

SB 920 (Alcohol Abuse Education and Prevention Penalty Assessment) and SB 921 (Controlled Substance Abuse Penalty Fee) require fines to be collected by the county for violations and convictions of alcohol/drug related offenses. These funds shall be identified and accounted for separately. A minimum of 33 percent of the funds shall be allocated to primary prevention programs in the schools and the community. These funds shall supplement and not supplant any local funds made available to support the county's alcohol and drug abuse education and prevention efforts.

PC 1463.16 - Statham (Funding Line 87) and Statham Match - Alcohol/Drug or Perinatal (Funding Line 87c)

Statham funds are fines imposed for violations of Vehicle Code sections 23103, 23104, 23152, or 23153. Statham funds shall be used to encourage the development of privately operated programs before developing publicly operated programs at the local level, and development shall include upgrading of facilities to promote ADP certification and licensing standards and to increase accessibility for handicapped persons.

Statham funds are not to be used for drug programs or as county match for drug programs. To the extent the funds are used for combined drug/alcohol programs, the funds should be used for the alcohol component of the program and only when that program is certified or has applied for certification.

The match funds may also be used to match Alcohol/Drug combined programs for alcohol clients only as well as Perinatal programs for alcohol clients only.

Excess Driving Under the Influence (DUI) Profit/Surplus Spent (Funding Line 88)

Profit/surplus in excess of 10 percent of costs must be included in the DUI program in the next fiscal year.

DUI Fees & Admin. & Monitoring (Funding Line 89)

There is a 5 percent cap of gross participant fees for the administration and monitoring of the driving under the influence programs. If over the 5 percent cap, a waiver from the DUI Program Branch of ADP is required for each fiscal year.

Penal Code (PC) 1000 (Admin. Fees) (Funding Line 89a)

This funding line represents the amount of fees for the administration and monitoring of the Drug Diversion programs.

Obligated Unexpended State General Funds (SGF) - Prior Fiscal Year (Funding Line 90a)

This is for all counties that may have unexpended FY 1999-00 ongoing SGF dollars that are to be expended in FY 2000-01.

Obligated Unexpended Youth SGF - Prior Fiscal Year (Funding Line 90c)

This is for all counties that may have unexpended youth State General Fund dollars allocated in FY 2000-01 that are to be expended in FY 2001-02.